



WIL-106US

PATENT

2164

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William T. Wilkinson and David E. Martin : Art Unit: 2164
Serial No.: 09/915,685 : Examiner: Unknown
Filed: July 26, 2001 :
FOR: INTELLECTUAL PROPERTY :
INVESTMENT PROCESS

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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This information disclosure statement is being filed within three months of the filing date of the above-referenced application. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Rex A. Donnelly
Ratner & Prestia
Attorneys for Applicants

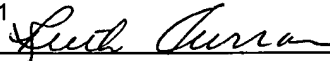
Encls.: PTO Form 1449,
Copy of (20) References

Dated: October 26, 2001

P.O. Box 7228
Wilmington, DE 19803
(302) 479-9470
Fax: (302) 479-9480

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:
October 26, 2001



Ruth Curran

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|--|-----------------------------------|------------|
| FORM PTO-1449 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement by Applicant (Use several sheets if necessary) | ATTY. DOCKET NO. | SERIAL NO |
| | WIL-106US | 09/915,685 |
| | APPLICANT William T. Wilkinson | |
| | FILING DATE | GROUP |
| | July 26, 2001 | 2164 |

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U.S. PATENT DOCUMENTS

| Exmr Initial | Document Number | Date | Name | Class | Sub Class | Filing Date |
|-----------------|--------------------|----------|----------------|-------|--------------|----------------|
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| Exmr Initial | Document Number | Date | Country | Class | Sub Class | Translation YES NO |
|-----------------|--------------------|------|---------|-------|--------------|-------------------------|
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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
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| | 1) | Book review for <i>Valuing Intangible Assets</i> , by Robert F. Reilly et al. (McGraw-Hill, 1998) as posted on amazon.com |
| | 2) | "Valuing Technology in New Applications," by Mike Hruby et al.; <i>les Nouvelles-Journal of the Licensing Executives Society</i> as posted on technology-marketing.com/Publications/les.html |
| | 3) | "Intellectual property: it's not just a fashion, it's the future" by Sharyn Ch'ang, (1998) 36 (5) LSJ 56 |
| | 4) | "Intellectual Property Valuation"; micromonics.com |
| | 5) | "Intellectual Property Due Diligence for the Buyer or Seller of a Business", reinhartlaw.com/Articles |
| | 6) | "Intellectual Property Due Diligence in Corporate Transactions: Risk Assessment, Investment, and Management"; West Group News Release (July 22, 1998); |
| | 7) | "Checklist for Due Diligence in Intellectual Property Transactions"; Mary Ann Tucker; michbar.org |
| | 8) | "Intellectual Property Assets as Sources of Potential Corporate Profits"; Daniel R. Bereskin.; (Dec. 1993) |
| | 9) | "The Right Hoops, Carrying Out Effective IP Due Diligence," Richard Raysman, <i>The Intellectual Property Strategist</i> , (Dec. 1996) |
| | 10) | <i>Assessing a Company's Most Valuable Assets: Conducting an Intellectual Property Audit</i> ; Baila H. Celedonia; cli.com/articles |
| | 11) | Book summary of <i>Intellectual Property Rights and Their Evaluation</i> ; Peter J. Groves (1997) as posted on woodhead-publishing.com/finance/banking/new/intellect.html |
| | 12) | U.S. Patent Application titled "METHOD FOR AUDITING INTELLECTUAL PROPERTY," filed October 11, 2001, by William Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/240,135 |

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449

Sheet 2 of 2

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| FORM PTO-1449 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement by Applicant (Use several sheets if necessary) | ATTY. DOCKET NO. WIL-106US | SERIAL NO 09/915,685 |
| | APPLICANT William T. Wilkinson | |
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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|-----|--|
| | | 13) | U.S. Patent Application titled "INTELLECTUAL PROPERTY FINANCIAL MARKETS METHOD AND SYSTEM," filed March 2, 2001, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/186,547 |
| | | 14) | U.S. Patent Application titled "METHOD OF PROVIDING INSURANCE FOR INTELLECTUAL PROPERTY," filed October 18, 2001, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/242,307 |
| | | 15) | U.S. Patent Application titled "INTELLECTUAL PROPERTY VALUATION PROCESS," filed June 14, 2000, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/139,247 |
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Examiner

Date Considered

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